(As Approved by the Coordination Committee in its meeting held on 25/10/2017 and Adopted by Devi Ahilya-Vishwavidyalaya-in-its-EC-meeting-held-on-04/12/2017)

ORDINANCE - 12

DOCTOR OF LETTERS/SCIENCE/LAWS

I. INTRODUCTION:

- 1. The provisions of this Ordinance shall be applicable to Doctor of Letters (D.Litt.)/ Doctor of Science (D.Sc.)/ Doctor of Laws (LL.D.) programmes of the University.
- 2. The degree of D.Litt./D.Sc./LL.D. shall be conferred on the candidates who fulfil the requirements as specified in the Ordinance.
- 3. The degrees assigned to various faculties are as detailed below:
 - D.Litt: Arts, Humanities and Social Sciences, Education, Commerce, Management,
 - D.Sc.: Science, Engineering, Medicine, Engineering Sciences, Ayurved, Home LL.D.: Law.

II. ELIGIBILITY:

A candidate shall be eligible for registration in D.Litt./D.Sc./LL.D. programme if he/she holds the degree of Doctor of Philosophy of at least five years standing of this University or any other university/deemed university recognized by this university and must have post-Ph.D. published work of at least 10 research papers in standard research journals or books of high standard or granted patents adjudged each (book/ patent) equivalent to one published research paper by the Faculty Research Committee.

III. APPLICATION:

A candidate for D.Litt./D.Sc./LL.D. degree must apply for registration on the prescribed application form available as attachment of this Ordinance, Annexure-I. The application may be submitted on any working day during the academic year. The application should be (a) Application fee - As decided by the University.

- (b) A certificate from the Head of the research centre recognized by the university for the purpose, testifying that adequate facilities exist and shall be provided to the candidate if registered. There shall be no supervisor of the candidate and he/she shall

Provided that a candidate, if he/she so desires, may have person (s) of merit in the subject as Advisor (s).

- (c) Self-attested copies of the statements of marks of bachelor's and master's degree
- (d) List of post-Ph.D. publications of the candidate along with a copy of each of the

IV. FACULTY RESEARCH COMMITTEE AND RESEARCH DEGREE

1. Subject to the general superintendence of the Academic Council, a committee, namely, the Faculty Research Committee (FRC) shall deal with all matters connected with the D.Litt./D.Sc./LL.D. programme of the University in accordance with this Ordinance. However, the registration shall be formally approved only by a Research Degree Committee Chairperson

ne	constitution of the faculty	
1	Dean of the faculty	facul
1.	Dean of the faculty Two Professors from the concerned	

The constitution of the FRC shall be as follows: Member Two Professors from the concerned faculty, nominated by Member

Minimum one external subject expert of the rank of University professor to be appointed by Kulpati from the panel of at least 111. four names given by the Dean of the Faculty

Head of the concerned research centre

Secretary

If the Dean of the faculty is not available, the Vice Chancellor shall appoint any other Dean or a Senior Professor of the University to act as Dean of the concerned faculty. Chairperson and two other members shall form the quorum. In case quorum is not complete then the Vice Chancellor may nominate one or two subject experts in the relevant field(s) from the same university / other university to form the quorum.

The FRC shall scrutinize the application(s) of the candidate(s) and shall recommend the eligible candidate(s) for admission in the D.Litt./D.Sc./LL.D. programme of the University. The FRC shall also submit the list of the Advisor(s) of the candidates to the Academic section for communicating to the concerned candidate(s). The FRC shall also submit a panel of at least four external subject experts in the rank of University professor

3. The constitution of Research Degree Committee shall be as follow:

ir KDC.	Degree Committee shall be as follow.	Chairperson
he cons	stitution of Research Degree Committee shall be as follow.	Member Member
i.	The senior Professor of the University	Member
:::	Dean of the Faculty	Member
iv.	Head of the concerned research established the subject Chairman, Board of Studies in the subject Chairman, Board of Studies in the rank of University Professor Chairman, Laubiect experts in the rank of an appel of at least four	
٧.	Head of the concerned research the subject Chairman, Board of Studies in the subject Chairman, Board of Studies in the rank of University Professor Two external subject experts in the rank of University Professor Two external subject experts in the panel of at least four	Member

Two external subject experts in the rank of University Professor to be appointed by the Kulpati from the panel of at least four Professors recommended by the FRC

Two external subject experts and two other members i.e. four in all shall form the quorum. Meeting of Research Degree Committee shall be held in the University Office.

1

1. The academic section shall intimate the candidate recommended by the FRC to submit registration fee and ten copies of the synopsis of the proposed research work in the prescribed proforma (Annexure-II) within three months from the date of intimation. If the candidate does not submit synopsis within three months, two months additional time may be provided by the University on the request of the candidate and payment of prescribed late fee. No further time will be given and the candidature will be cancelled.

2. The synopsis and the application recommended by FRC for registration shall be placed before the Research Degree Committee and the candidate shall make an oral presentation of

3. Research Degree Committee may approve the topic of research and the registration of the candidate for the D.Litt./D.Sc./LL.D. degree, as the case may be. On approval the committee, the candidate shall be deemed to have been registered from the date of submission of the registration fee in the university.

Candidate shall ordinarily be permitted to work for D.Litt/D.Sc./LL.D. degree the subject in which he has obtained his Ph.D. degree. However, RDC may allow to work in a subject of interdisciplinary nature on request of the candidate.

4. The candidate shall be allowed to work at the research centre after payment of fee of the research centre and other applicable fees due from the date of registration for D.Litt/D.Sc./LL.D. degree. A certificate in this regard from Head of the research centre should be submitted to the academic section of the University.

5. If the candidate does not have enrolment number of the university, he/ she has to get it through online / offline mode after submitting its application along with required documents, migration certificate and receipt of requisite fee.

VI. SUBMISSION OF THESIS:

- 1. The candidate, after registration, shall submit six monthly progress report along with certificate from the Head of the research centre for payment of semester fee of the research centre and other applicable fees to the academic section of the University. If two subsequent progress reports together with the certificate of the payment fees are not received in the academic section, the registration shall stand automatically cancelled.
- 2. The candidate shall be allowed to submit the thesis for evaluation after completing three years from the date of registration but not later than five years. An extension of one year may be granted by the Kulpati on valid reason(s) on payment of a prescribed fee to the university. One has to apply for extension at least one month before completing the maximum duration of five years from the date of registration. No further extension will be given.
- 3. The candidate shall publish at least three research papers, solely authored, in standard research journals or publications of merit (books / granted patents) after registration before submitting
- 4. The candidate shall inform the Registrar of his/her intention of submitting the thesis about six months prior to submit the thesis along with list of published research work. The Registrar shall arrange for an oral presentation of work by the candidate before a Screening committee.
- 5. Screening committee will consist the following members:

100

i. The Kulpati The senior most Professor of the University in the subject ii. Chairperson iii. Dean of the Faculty Member Head of the concerned research centre iv. Member

V.

Chairman, Board of Studies in the subject Member One external subject expert nominated by the Kulpati Member preferably from amongst the external experts of RDC approving the registration of the candidate.

Member

One external subject expert and two other members shall form the quorum for this

The presentation shall be made preferably offline or online through video conference facility on the date, time and venue as fixed by the University. The presentation by the candidate shall be open to all interested and shall be announced on the notice board/ website at least a week in advance by the Registrar.

The Screening Committee shall have following powers:

- (i) To approve the work for the submission of thesis.
- (ii) To suggest modifications, if any, along with reason (s) to be recorded by the committee.
- 6. After approval of the Screening Committee the candidate shall submit six copies of summary along with certificate from the Head of the research centre regarding payment of all semesters' fee. The list of published papers/works must be incorporated either as a part of text or as appendix in the summary.
- 7. The research work carried out by the candidate shall comply with the following conditions to merit the award of the degree:

It must be a substantial work making a distinct addition to learning in the concerned subject

of the discipline.

It must be original in the sense of opening up new field(s) of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.

It must be a scholarly work of high quality.

It must be the done after registration.

It must be the work published in reputed journals in the form of research papers and/ or published in the form of books, patents, etc., out of which at least three must be authored solely by the candidate.

It must not be the work, which has been previously submitted for a degree or a diploma in this or in any other University.

- The candidate shall submit six copies of hardbound thesis printed on both sides and a soft copy. The thesis should accompany along with the followings:
 - a. The University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting the thesis for evaluation, the thesis shall have a declaration from the candidate, forwarded by the Head of the Research Centre stating the originality of the work, vouching that there is no plagiarism, work done by the candidate at the approved place of the work as per the provisions of this Ordinance. The declaration by the candidate should also include that the work done has not been submitted fully / partially for the award of any other degree/diploma of this University or any other institution (Annexure-III).

b. A certificate (Annexure-IV) from the Advisor (s), if any, forwarded by the Head of the research centre that the thesis fulfils the requirements of this Ordinance for D.Litt.

/ D.Sc. /LL.D. degree of the University.

c. Copy of the research papers published in standard journals or publications of merit (books/ patents) from the work reported in the thesis.

d. The candidate shall also remit with the thesis a prescribed examination fee.

e. The thesis shall be either in English / Hindi except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.

Note: Soft copy of the summary / thesis may be submitted in CD/ Pen drive/ through email to the dedicated Email ID.

VII. EVALUATION OF THE THESIS:

- 1. The Registrar shall obtain a panel of subject experts in a sealed envelope from the external expert of the Screening Committee. The panel should have at least six subject experts including two foreign experts in the subject, not below the rank of University Professor who can be appointed as examiners.
- 2. On receipt of the summary of the thesis the Registrar shall call upon the Examination Committee constituted u/s 44 of the Adhiniyam to draw a panel of six subject experts including two foreign experts not below the rank of University Professor, taking into consideration the panel submitted by the external expert of the Screening Committee.
- 3. The Kulpati shall appoint three examiners including at least one foreign examiner preferably from the panel recommended by the Examination Committee.
- 4. The examiners appointed by the Kulpati shall be approached for their consent to evaluate the thesis through a letter along with the copy of the summary of the thesis. On receiving the acceptance the thesis shall be sent to the examiners.
- 5. In the event of the report of the thesis not received within three months from the date of dispatch of the thesis, the Kulpati may cancel the appointment and such examiner shall be asked to return the thesis along with all the documents. The Kulpati shall appoint another examiner in place of such an examiner.
- 6. The examiner may seek clarification of the subject matter of the thesis from the candidate through the Registrar. The Registrar shall pass on the queries to the candidate without disclosing the identity of the examiner and the clarification obtained from the candidate shall be forwarded to the examiner. The provision shall be incorporated in the letter to be sent to the examiner while sending the thesis.
- 7. The examiners must give specific opinion on the following points:
- i. Whether the thesis embodies an original piece of research work characterized by the discovery of new facts or by a fresh approach towards interpretation of facts and
- ii. How far it evinces candidate's capacity for original thinking, critical examination and
- iii. Whether the thesis is satisfactory in point of language and presentation of subject
- iv. Whether the thesis be approved for D.Litt./D.Sc./LL.D. degree.
- v. The examiner must also furnish a detailed report on the thesis together with a set of

questions to be asked at the time of viva-voce examination.

- vi. In case the examiner suggests for an improvement in the thesis, he/she must give a detailed report on the lines on which the thesis be modified and resubmitted.
- 8. (i) If all the three examiners approve the thesis, the candidate shall be called upon to defend the thesis at the viva-voce examination.
- (ii) If two examiners approve the thesis and the third rejects/recommends revision, the thesis shall be sent to a fourth examiner (without the reports of earlier examiners) appointed by the Kulpati for evaluation. The recommendation of the fourth examiner shall be final. In case fourth examiner asked for revision the candidate shall be permitted to revise the thesis on the lines suggested by the examiner. The comments of the examiner shall be made available to the candidate without disclosing the identity of the examiner. The candidate shall submit the revised thesis within six months from the date the candidate is asked to revise the thesis. He/she shall have to pay a fee as prescribed by the University at the time of resubmission. The revised thesis will be sent to the fourth examiner and the recommendation of the fourth examination shall
 - (iii) In case all the three examiners recommend revision/rejection or two examiners recommend revision/rejection and the third accepts, the thesis shall stand rejected.
 - If the thesis is finally approved the candidate shall be called upon to defend the thesis in the viva-voce examination conducted by at least two external examiners. All the three examiners shall be invited to conduct the viva-voce
 - (ii) The viva-voce examination shall be conducted at the research centre on the date, time and place notified by the Registrar which shall be put on the notice board
 - (iii) The candidate shall present the work embodied in the thesis before the board of examiners, faculty members, research scholars and other interested persons. After the presentation, the examiners appointed for the viva-voce examination shall ask questions to the candidate. Others may submit their questions in writing to external examiners. Who may put such of those questions to the candidate as they deem fit. The examiners may also ask question beyond the scope of the thesis in order to satisfy themselves that the candidate has adequate knowledge of the subject on
 - (iv) In case of divergence of opinion between the thesis examiners and vivavoce examiners or the divergence of opinion between the viva-voce examiners the candidate shall be asked to reappear at a second viva-voce examination within six months. He shall have to pay an additional fee as prescribed by the University for second viva-voce. If the candidate fails to satisfy the viva-voce examiners at the second examination, the thesis shall finally be rejected.
 - 10. After the viva-voce examination the recommendations shall be reported to the Executive Council for approval. After the approval of Executive Council the candidate shall be awarded with D.Litt./D.Sc../LL.D. degree.

VIII. OTHERS:

17

- 11. After notification of the award of the degree the one copy of the thesis shall be kept in the University Library, another in the Library of the research centre, and the remaining copies shall be returned to the candidate.
- 12. The copies of examiners' reports, without disclosing their names, may be provided to the candidate on payment of the prescribed fee after notification of the award of the degree.
- 13. The University Teaching Departments shall only be eligible for registering the candidates for D.Litt./D.Sc./LL.D. degree.
- 14. The candidates who have already been registered for D.Litt./D.Sc./LL.D. degree under the repealed Ordinance will continue to be governed by the provisions of the repealed Ordinance. The candidate who have applied for registration but have not been registered till this Ordinance comes into force shall be governed by the provisions of this Ordinance.
- 15. The fee structure and remunerations related with D.Litt./D.Sc./LL.D. programme shall be decided by the Executive Council of the University from time to time.
- 16. On detection of any irregularity, the University shall take suitable steps to withdraw the degree as per provisions of section 6(12) of the Adhiniyam.

ABAC:

Date of Payn	nent of Application Fee	
Application	ee Receipt No.	
	eipt of the Application Form ant is advised to study the provisions of the Ordinance carefully before fi	lling
The applic		
	DEVI AHILYA VISHWAVIDYALAYA, INDORE	I
Арр	DEVI AMERICAN STREET OF Science Control of Admission to the Doctor of Letters/ Doctor of Science Doctor of Law Degree programme.	e/
Indore (M	rar, a Vishwavidyalaya, .P.)	
Sir, I request Science/	that my name be registered as a student for the Degree of Doctor of Letters/Doc Doctor of Law of the Devi Ahilya Vishwavidyalaya, Indore.	tor of
The rele	vant details are as under:	
1.	Name of the applicant (in Hindi)	
2.	Name of the applicant (in English)	
3.	Father's Name/ Husband's Name (in case of married women)	
4.	Date of Birth	
5.	Category (Unreserved/ SC/ ST/ OBC)	
6.	Gender	
7.		
8.	Applicant's Corresponding Address (including Email ID & Mobile No.	
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- Enrolment number of the DAVV, if available
- Name and Address of the Organisation where you are working
- 11. Educational Qualifications:

Degree	University	Passing	Division	0/ 625	
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Ph.D.	8				
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12. Work Experience (from current job to older ones):

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13. Research Publications (Research Papers):

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J. J.				

ARA-C. 37723

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14. Other Publications (Research Reports/ Book/ Book Chapters):

14.	Other Publications (Research Repor	(3)	Publisher	Date (Year) of
s. No.	Title of the Research Report/ Book/ Book Chapter, etc.)	Authors	Fubiliste	Publication
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Details of Granted Patents

15.	Details of Granted Patents		Contributors	Granting Date
13.	Title of the Patent	Granting Agency	Corre	
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1	16.	Topic of the Proposed Research	
	17.	Research Centre	
	18.	Subject	
	19.	Faculty	10 copies
	20.	Synopsis	(As per Annexure-II)
	21,	Any Other Information	10
	1		

22			
22.	List of Enclosures (Copies of all	1	
	the documents listed above):		
	7-		

Place:

Your's Faithfully

Date:

(Signature of Applicant)

CERTIFICATE FROM RESEARCH CENTRE

This is to certify that adequate facilities exist and shall be provided to the candidate if registered. There shall be no supervisor of this candidate and he/she shall have to work independently.

Head of the Research Centre

(Signature & Seal)

MEAR C. 375/23

PROFORMA FOR SYNOPSIS OF PROPOSED RESEARCH

The cover page should contain information of proposed research topic, name of the scholar, name and affiliation of the Advisor(s), if any, and research centre.

The synopsis should contain following information:

- 1. Title of the Proposed Research
- 2. Introduction giving purpose of research (in about 200 words)
- 3. Literature Review
- 4. Objectives
- 5. Methodology
- 6. Expected outcome
- 7. Bibliography (in standard format)
- 8. List of post-Ph.D. publications of the candidate in the proposed area of research.

Signature of the Candidate

Signature of the Advisor Name of the Advisor: Date:

> Forwarded by Head, Research Centre (with seal)

Date:

DECLARATION BY THE CANDIDATE

I declare that,

- 1. the research work presented in the thesis entitled <......Title of the Thesis......> is my own work except as acknowledged in the text and footnotes.
- 2. there is no plagiarism in the research work reported in the thesis.
- 3. I carried out the research work individually at the allotted research centre, under the advisory University.
- 4. to the best of my knowledge this thesis has not been submitted either in whole or in part, for award of any other degree/diploma at this University or at any other such Institution.

Besides this-

- (i) I have also given a summary presentation and successfully incorporated the changes suggested on the basis of feedback and comments received.
- (ii) I have published research papers in reputed journals from the research work of the thesis. I have also produced evidence of the same in the form of reprints.
- (iii) I have published books from the research work of the thesis.
- (iv) I have been granted patents from the research work of the thesis.

Date:

<......

<Registration No.>

Forwarded by:

Head, Research Centre (with Seal & Date)

CERTIFICATE OF THE ADVISOR(S)

This is to certify that the work entitled <title of="" td="" the="" ti<=""><td>nesis> is a piece of research</td></tr><tr><td>This is to certify that the work entitled <</td><td>for the award of degree of Doctor</td></tr><tr><td>This is to certify that the work entitled < The of the average work done by <Candidate Name> under my/our advisory</td><td>nws (LL.D.) in <SUBJECT> (under</td></tr><tr><td>work done by <Candidate Name> under my/our advisory of Science (D.Sc.)/ Doctor of Letters (D.Litt.)/ Doctor of La the faculty of) of Devi Ahilya Vishwavidya</td><td>alaya, Indore (M.P.), India.</td></tr><tr><td>the faculty of) of Devi Amya the</td><td></td></tr><tr><td>To the best of my/ our knowledge and belief that thesis: (i) embodies the work of the candidate carried our</td><td>t by himself/herself;</td></tr><tr><td> (i) embodies the Work of the (ii) has duly been completed; (iii) fulfills the requirements of the Ordinance related Doctor of Letters (D.Litt.)/ Doctor of Laws (J. is up to the standard both in respect of contents) </td><td>ting to the Doctor of Science (D.Sc.)</td></tr><tr><td>the examiner.</td><td></td></tr><tr><td></td><td></td></tr><tr><td></td><td>Signature of the Advisor-2 Name:</td></tr><tr><td>Signature of the Advisor-1</td><td>Name:
Affiliation:</td></tr><tr><td>Name:Affiliation:</td><td></td></tr><tr><td></td><td></td></tr></tbody></table></title>	

Forwarded by

Head of the Research Centre (with seal and date)

Devi Ahilya Vishwavidyalaya, Indore Doctor of Philosophy (Ph.D.) FEE STRUCTURE

No.	Part		Existing Fee (Rs.)	Prop Fee (osed Rs.)	Differ (Rs.)	ence	Remarks One time	
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	19.	For Foreign national/NRI**						11.011	

*Includes Departmental computer lab, and library fees.

Alumni fee of Rs. 500 will be charged separately in the first semester.

Hostel Fee will be extra.

^{**} Includes Research centre fee, Laboratory fee, and University library fee. Other fees will be charged in INR as mentioned Above.

Fee concession will be available to the University teachers/ officers/ employees and their wards as applicable to other academic programmes of the University.

Devi Ahilya Vishwavidyalaya, Indore Doctor of Philosophy (Ph.D.) - REMUNERATIONS

A. Entrance Test:

Preparations for DET Chairperson Other Members of the Committee Assistants (2 nos.) Class-IV employees (2 nos.) Contingent Expenses	Rs. 1000/- per day (for actual no. of meeting days) Rs. 800/- per day (for actual no. of meeting days) Rs. 400/- per day (for actual no. of meeting days) Rs. 200/- per day (for actual no. of meeting days) Rs. 5 per registered candidate To meet out expenses related with refreshment, working lunch, stationary, photocopy, etc.
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Setting of Question papers etc.	
Paper setting	
Moderation of Selected papers	Rs. 100 per question
Answer key verification	Rs. 1000 per paper
Answer key verification of selected papers	Rs. 1500 per paper
Coordination with paper setters/ Exam Centres	Rs. 1000 per Coordinator

Uol- D		
Help Desk	Pc FOO	
E	Rs. 500 per day	

Chairperson Other Members Control Room Assistants (4 nos.) Class-IV employees (3 nos.) Contingent Expenses	Rs. 1500/- per day (for 3 days) Rs. 1000/- per day (for 3 days) Rs. 400/- per day (for 2 days) Rs. 200/- per day (for 2 days) Rs. 5 per registered candidate To meet out expenses related with refreshment, working lunch, stationary, photocopy, etc.
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Observes (for Local centres) Observes (for outside centres)	Rs. 1000 per shift per observer
(for outside centres)	Rs. 1000 per shift per observer
,	Travel, Boarding and Lodging expenses as per actuals.

Exam Centres(In case Offline Centre Superintendent Assistant Superintendent Invigilators) Class III employees Class IV employees Contingent Expenses	Rs. 2000 per shift Rs. 1000 per shift Rs. 500 per shift Rs. 300 per shift Rs. 150 per shit Rs. 10 per registered candidate at the centre	Rs. 1000 (for one day in advance) Rs. 700 (for one day in advance) One invigilator for every 20 students, one reliever for every5 invigilators Rs. 300 (for one day in advance) Rs. 150 (for one day in advance) To meet out expenses related with refreshment, etc.
F. S.	(Min. Rs. 1000)	Utilization certificate will be provided by the Centre Superintendent.



B. RAC Meetings: RAC Members Class III employees Class IV employees Contingent Expenses	Rs. 2000 per day Rs. 300 per day per person Rs. 200 per day per person Rs. 10 per candidate called for interview (Min Rs. 1000 per day)	For each member For one person For Two persons To meet our expenses related with refreshment, working lunch, etc.
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C. <u>Course Work:</u>		Existing	Proposed	(Rs.)		
No.	Particulars	(Rs.)	(Rs.)	0	Per paper	
		1500	1500			
1.	Paper setting for semester-end		500	0	Minimum	
		500	500			
2.	Evaluation of semester-end exam				-	
	answer sheets @Rs. 30 per		500	0	Per Examiner	
	answer sheet	500	300	21.00	(Minimum)	
4.	Evaluation of literature review		1000	0	Per hour	
	@Rs. 200 per candidate	1000	2000	0	Per examiner	
5.	Teaching (Theory) (Visiting)	2000	2000			
6.	Comprehensive viva-voce	•				

D. The	sis Evaluation:	Existing	Proposed	Difference (Rs.)	Remarks
1.	Particulars Thesis evaluation (external examiner) Thesis evaluation (internal examiner) Viva-voce (each examiner) Viva-voce (each examiner)	2500 2500 2500 1000 conducting	(Rs.) 4000 4000 2000 the viva-vo	1500	Minimum Minimum Minimum Illowed to traveled accordingly.

The internal/external examiner appointed for conducting the viva-voce may be allowed to trave through road (Bus/Taxi)/train-II Ac/Air-Economy and the actual fare will be reimbursed accordingly.

